Number: EJ116539776US Date of Deposit: 22 August 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

THORP REED & ARMSTRONG, LLP

One Oxford Centre 301 Grant Street, 14th Floor

Pittsburgh, PA 15219-1425

Suzanne Horcule

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AUG 2 4 2001

OFFICE OF PETITIONS DEPUTY A/C PATENTS

**PATENT** 

Attorney Docket No.: DB000575-012

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Keeth, et al.	)		
		)	Examiner:	Not yet assigned
Serial No.:	09/885,217	)		_
		)	Art Unit:	Not yet assigned
Filed:	20 June 2001	)		

**Entitled:** 256 MEG DYNAMIC RANDOM ACCESS MEMORY

# PETITION TO GRANT FILING DATE

Applicants petition the Commissioner to accord the above-identified application ("217 application") a filing date of 20 June 2001. On 20 June 2001, the '217 application was filed with all necessary parts, including at least one claim.

In the alternative, Applicants request that the '217 application be accorded a filing date as of the date that this petition and second preliminary amendment were filed.

Finally, Applicants hereby petition the Commissioner to accept the proof, as outlined below, that page 29 of the specification was submitted with the '217 application and to refund the accompanying petition fee of \$130 submitted in accordance with 37 C.F.R. 1.117(h).

08/24/2001 GTEFFERA 00000016 09885217

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#### I. APPLICATION FILING DATE

# A. Introduction

Applicants petition the Commissioner to accord to the '217 application a filing date of 20 June 2001. It was on this date that the application was filed via Express Mail Post Office to Addressee with all necessary parts, including nineteen (19) claims.

# B. Argument

The '217 application was filed on 20 June 2001 as is evidenced by the copy of the Express Mail label attached hereto as Exhibit A. This application was filed as a divisional of application serial number 09/620,606 ("606 application"). The '606 application was a divisional of application 08/916,692 ("692 application"). The '692 application was filed containing claims 1-80. The '606 application was filed with a preliminary amendment, which added claims 81-465, as can be seen in Exhibit B (a copy of the preliminary amendment that was filed with the '606 application.)

The '217 application was filed with a copy of the specification from the original application (the '692 application) and a preliminary amendment. The preliminary amendment filed in the '217 application cancelled claims 1-222, 238-246, and 251-465. Thus, the '217 application was filed with nineteen (19) claims. These were the remaining claims, numbers 223-237 and 247-250, from the preliminary amendment filed in the parent case (the '606 application).

A copy of the preliminary amendment from the '692 application was inadvertently omitted from the '217 application. Applicants rely on the text printed on the Utility Patent Application Transmittal (Exhibit C) stating, "For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered

a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference." Applicants submitted a copy of the oath that was filed in the original application. This is evidence by the Box 5b that has been checked on the Utility Patent Application Transmittal (Exhibit C). An additional copy of this declaration is filed with this petition as Exhibit D. Thus, Applicants complied with this statement. The Preliminary Amendment filed with the '606 application should be considered as part of the '217 application, otherwise, the preliminary amendment filed in the '217 application does not make sense.

# C. Conclusion

Application Transmittal that incorporated the entire disclosure of the prior application. The prior application contained 465 claims. Applicants filed the present application with a preliminary amendment canceling all but nineteen (19) of the claims. Therefore, Applicants filed an application on 20 June 2001 that complied by 35 U.S.C. 112, in that the application contained at least one claim. For the foregoing reasons, Applicants request that the Commissioner grant this application the filing date 20 June 2001, which corresponds to the filing date of the application as evidenced by the Express Mail label.

# II. ALTERNATIVE FILING DATE

In the alternative, Applicants request that the Commissioner grant this application a filing date as of the mailing date of this petition. This petition contains a second preliminary amendment, which presents claims for examination. This second preliminary amendment places the application in compliance with 35 U.S.C. 112 as of the date of the second preliminary amendment's filing.

#### III. PAGE 29 OF SPECIFICATION

Applicants contend that the above-identified application was filed with page 29. Applicants respectfully direct Commissioners attention to Exhibits C and E (the Utility Patent Transmittal Form and the return receipt postcard). Both Exhibits state that this application was filed with 232 pages of specification; page 29 was one of these 232 pages. Applicants additionally assert that each page of the specification was visually checked prior to mailing to insure that every page was there. Applicants respectfully contend that page 29 was included in the above-identified application. Applicants have included a copy of page 29 with this petition.

Applicants contend that this evidence is sufficient to prove that 232 pages of specification were submitted with the application and that page 29 was one of the 232 submitted pages.

#### IV. NO NEW OATH IS NECESSARY

Applicants hereby petition the Commissioner under 37 C.F.R. 1.63(d)(1) to withdraw the request for submission of an oath or declaration.

#### A. Introduction

Applicants submitted a copy of the declaration from the prior original application with the filing of the present divisional application in compliance with 37 C.F.R. 1.63(d)(1). No new oath is needed for the claims that are included in the preliminary amendment, which was filed with the '217 application. This preliminary amendment did not add any new claims. It merely cancelled claims from the parent application. Hence, this preliminary amendment is covered by the oath that was filed in the parent application, a copy of which was filed with this application.

Additionally, no new oath is needed for page 29. Page 29 was submitted with the other 367 drawings on 20 June 2001. A copy of the oath from the prior original application was submitted at that same time. This oath covered all 367 pages, including page 29. Therefore, the present application was filed on 20 June 2001 with an oath that covered the application and does not need a new oath or declaration.

Furthermore, page 29 was incorporated by reference, as it was part of the disclosure of the prior application. Applicants rely on the text printed on the Utility Patent Application Transmittal (Exhibit C) stating, "For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference." Applicants submitted a copy of the oath that was filed in the original application. This is evidence by the Box 5b that has been checked on the Utility Patent Application Transmittal (Exhibit C). An additional copy of this declaration is filed with this petition as Exhibit D. Thus, Applicants complied with this statement. Page 29, which was also filed with the '606 application, should be considered as part of the '217 application as filed on 20 June 2001.

# V. CONCLUSION

Applicants petition the Commissioner to accord the above-identified application ("217 application") a filing date of 20 June 2001. On 20 June 2001, the '217 application was filed with all necessary parts, including at least one claim.

In the alternative, Applicants request that the '217 application be accorded a filing date as of the date that this petition was filed.

Additionally, Applicants hereby petition the Commissioner to accept the proof, as outlined above, that page 29 of the specification was submitted with the '217 application and to refund the accompanying petition fee of \$130 submitted in accordance with 37 C.F.R. 1.117(h).

Respectfully\_submitted

Edward L. Pencoske Reg. No. 29,688

Thorp Reed & Armstrong, LLP

One Oxford Centre

301 Grant Street, 14<sup>th</sup> Floor Pittsburgh, PA 15219-1425

(412) 394-7789

Attorneys for Applicants

Dated: 22 August 2001



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EXHIBIT

A



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

		DB000575-012	_
		Keeth et al.	
Title	256 MEG DYNA	MIC RANDOM ACCESS MEMOF	۲۲
		FIGEOGGGGGGG	_

Express Mail Label No. | E1859862909US (Only for new nonprovisional applications under 37 CFR 1.53(b)) **Assistant Commissioner for Patents APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred arrangement set forth below) 232 Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix

- Background of the Invention Statements verifying identity of above copies Brief Summary of the Invention
 Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATION PARTS - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure (when there is an assignee) Attorney 367 English Translation Document (if applicable) 4. 1 Drawing(s) (35 U.S.C. 113) [ Total Sheets Copies of IDS Information Disclosure 5. Oath or Declaration [ Total Pages Citations Statement (IDS)/PTO-1449 13. 🗸 Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed) **Preliminary Amendment** Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 ·Microfiche Appendix ········ 17. 🗸 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 09 , 620,606 Continuation Divisional Continuation-In-part (CIP) of prior application No. Group Art Unit: 2818 M. Tran Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an eath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS readinates, reconfiguration so its Customer Number or Bar Code Label Edward L. Pencoske Name Thorp Reed & Armstrong, LLP One Oxford Centre, 301 Grant Street, 14th Floor Address **Pittsburgh** City State PA Zip Code 15219-1425 United States Country (412) 394-7789 (412) 394-2555 Telephone Fax Edward L. Pencoske 29.688 Name (Print/Type) Registration No. (Attorney/Agent) Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Paten Washington, DC 20231. **EXHIBIT** 

# **DECLARATION**



# INVENTORSHIP IDENTIFICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

# TITLE OF INVENTION

# 256 MEG DYNAMIC RANDOM ACCESS MEMORY

# SPECIFICATION IDENTIFICATION

the sp	ecification	of which:
(a)		is attached hereto.
(b)	$\boxtimes$	was filed on _August 22, 1997 as ⊠ Serial No. 08/916,692
		and was amended on _ (if applicable).
(c)		was described and claimed in PCT International Application No filed on
		and was amended on (if applicable).
		ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR
	I hereby	state that I have reviewed and understand the contents of the above-identified specification,
includi	ng the cla	ims as amended by any amendment referred to above.
	I ack	nowledge the duty to disclose information, which is material to patentability as defined in 37,
		Code of Federal Regulations, § 1.56.

PI-361639.01

Declaration Page 1 of 5



(Prior Foreign/Pct Application(S) Filed Within 12 Months

(6 Months For Design) Prior To This Application)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate(s) or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate(s) or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Application Number	Country or PCT	Date Of Filing (Day, Month, Year)	Priority not Claimed	Certified Copy Attached?
				☐ YES NO ☐

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
60/050,929	May 30, 1997

# CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) UNDER 35 U.S.C. 120 (All Foreign Application(S), *If Any*, Filed More Than 12 Months (6 Months For Design) Prior To This U.S. Application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

U.S Parent Application No.			Parent Patent No. (If applicable)
L			:

# **DECLARATION**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

# SIGNATURE(S)

Inventor(s)			
Brent	· .		Keeth
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	5077 W. FIFESHIRE PL.,	BOISE, ID 8371	3
Layne	G.		
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Scott	•		_
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	— FAMI	Demer LY (OR LAST NAME)
Inventor's signature	Scott & Derner		
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Post Office Address:	2793 N. Bobcat Way, Meridian, ID	83642	
Ronald	•		
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)		Taylor
•	o colo e o	FAMILY	(OR LAST NAME)
Inventor's signature	Lovald I Solo		
Date	4/6/98	Country of Citizenship	USA
Residence	Meridian, ID 83642		

DOCKET NO.			PATENT
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	·		
John	S.		Mullin
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAN	(ILY (OR LAST NAME)
Inventor's signature	John &	Wallin	
Date	1/8/98	Country of Citizenship	USA
Residence	Boise, ID \$3705		
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Daymand			
Raymond (GIVEN NAME)	J. (MIDDLE INITIAL OR NAME)		Beffa ILY (OR LAST NAME)
•	1116		ILT (OR DAST NAME)
Inventor's signature	- July 1		
Date		Country of Citizenship	USA
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Post Office Address:	11966 Goldenrod Drive, Boise, ID	83713	
Frank	F.		Ross
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAM	ILY (OR LAST NAME)
Inventor's signature	of M		
Date	4/21/98	Country of Citizenship	USA
Residence	Boise, ID 83702	. Country of Citations	
Post Office Address:	2004 N. 10 <sup>th</sup> Street, Boise, ID 8370	no	
/	200714. 10 Gacet, Doise, 10 0071	UZ	
Larry	D.		Kinsman
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMI	LY (OR LAST NAME)
Inventor's signature	day or from		
Date	4/15/98	Country of Citizenship	USA
Residence	Boise, ID 83706	<u> </u>	

Post Office Address: HC 33, Box 2461, Boise, ID 83706

-	<u> </u>	PATE	:NT
		Signature by administrator(trix), or legal representative for deceased or incapacitated inventor. Number of pages added	
		Signature for inventor who refuses to sign or cannot be reached by person authorized un 37 CFR 1.47. Number of pages added	ıder
		Added page for signature by one joint inventor on behalf of deceased inventor(s) where I representative cannot be appointed in time. (37 CFR 1.47) Number of pages added	egal
		Authorization of attorney(s) to accept and follow instructions from representative.	
$\boxtimes$	This de	eclaration ends with this page.	

The dating stamp of the USPTO on this card will be taken as an indication that the accompanying paper(s) was filed.

900 June 2001 ME

Applicant(s) <u>Keeth et al.</u>
Title 256 MEG DYNAMIC RANDOM ACCESS MEMORY
MEMORY DINAMIC RANDOM ACCESS
Pages of Spec age 15
Pages of Spec. 232 No. of Claim 19 Sheets of Drawings 367 Declaration
Declaration 367
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Atty's File No. DB000575-012
ELP/CFO/mk
DDF/CFO/mk
BTO

09/885217

\*PTO/SB/17 x2

\*Information Disclosure
Statement (PTO/SB/08A,
1 pgs. and TTO/SB/08B,
2 pgs.

\*copies of cited
references

\*Preliminary Amendment
including Deletion of
Inventors

\*Microfiche Appendix

\*PTO/SB/05

Exp mail#E1859862909US

EXHIBIT \_\_\_\_

NUMBER: EI839862909US
DATE OF DEPOSIT: June 20, 2001

I hereby certify that this paper or fee is being Deposited with the United States Postal Service Under 37 C.F.R. 1.10 on the date indicated above And is addressed to the Assistant Commissioner for Patents, Washington, DC 20231

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COPY

**PATENT** 

Attorney Docket No.: DB000575-012

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Keeth, et al.	)		
Serial No.:	Not yet assigned	) <b>E</b> x	aminer:	Not yet assigned
Filed:	20 June 2001	) Ar	t Unit:	Not yet assigned
Entitled:	256 MEG DYNAMIC I	RANDOM ACCES	S MEMOR	RY.

# PRELIMINARY AMENDMENT

Preliminary to the examination of the above-identified application filed herewith, please amend that application as follows.

# In the Specification

Page 1, line 2, after the title, insert -- This Application is a divisional application of U. S. Application Serial No. 09/620,606 filed July 20, 2000, which is a divisional application of U.S. Application Serial No. 08/916,692 filed August 22, 1997.--

Page 52, line 18, delete "nine microfiche having a total of fifty-two frames" and substitute therefore --eleven microfiche having a total of sixty-six frames--.

Page 52, line 19, delete "33" and substitute therefore --44--.

A replacement page 52 is enclosed; a copy of page 52 marked to show the changes is also enclosed.

# In the claims

Please cancel claims 1-222, 238-246, and 251-465.



# STATEMENT REQUESTING DELETION OF INVENTORS

The declaration that is being filed with the instant application is a copy of the declaration that was filed with U.S. Application Serial No. 08/916,692 filed August 22, 1997. As a result of the restriction requirement in U.S. Application Serial No. 08/916,692, the following inventors named in the grandparent application are not inventors of the invention claimed in the instant divisional application:

Raymond J. Beffa Frank K. Ross Larry D. Kinsman Ronald L. Taylor John S. Mullin

Please file the instant application in the names of the remaining inventors (Keeth, Bunker and Derner) in accordance with 37 CFR 1.63 (d).

#### **CHANGE OF ADDRESS**

Please note that the undersigned attorney's address has changed since the parent application was filed and that the undersigned attorney's address is correctly noted on form PTO/SB/05 filed herewith, and is correctly noted below.

It is respectfully requested that the instant application, covering claims 223-237 and 247-250, receive an early office action on the merits.

Respectfully submitted

Edward L. Pencoske Reg. No. 29,688

Thorp Reed & Armstrong, LLP

One Oxford Centre

301 Grant Street, 14<sup>th</sup> Floor Pittsburgh, PA 15219-1425

(412) 394-7789

Attorneys for Applicants

Dated: 20 June 2001